

[] Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. *See* Instruction 1(b).

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

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# STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person *					2. Issuer Name and Ticker or Trading Symbol							ol		5. Relationship of Reporting Person(s) to Issuer (Check all applicable)				
ROHRS THOMAS M						ADVANCED ENERGY INDUSTRIES INC [ AEIS ]								XDirector10% Owner				
(Last)	(First)	) (Mi	iddle) 3			3. Date of Earliest Transaction (MM/DD/YYYY)							Officer (give title below) Other (specify below)				elow)	
1595 WYNKOOP STREET, SUITE 800					4/30/2021													
	(Stre	et)			4. I1	f Am	endmer	nt, Date O	rigin	al File	d (MM/D	D/YYY	YY) (	6. Individual o	or Joint/G	roup Filing	Check Appl	icable Line)
DENVER, CO 80202 (City) (State) (Zip)													_X _ Form filed by One Reporting Person Form filed by More than One Reporting Person					
			Table l	- Non-	Deri	ivativ	ve Secu	rities Acc	quire	ed, Dis	sposed o	f, or	Bene	eficially Owne	ed			
1.Title of Security (Instr. 3)			2. Trans. Date				3. Trans. Co (Instr. 8)	de	4. Securities Acquor Disposed of (D (Instr. 3, 4 and 5)			Fol	Amount of Securiti Ilowing Reported T str. 3 and 4)	ies Beneficially Owned Γransaction(s)		or Indirect	Beneficial Ownership	
								Code	V	Amour	(A) or (D)	Pric	ce				(I) (Instr. 4)	
Common Stock 4/30/2				4/30/202	1			A		1813	) A	\$0.0	)0	1813 <u>(2)</u>			D	
Common Stock													27000		I	By Trust (3)		
	Tab	le II - Dei	ivative	Securit	ies I	Benef	ficially	Owned (	e.g.,	puts,	calls, wa	ırran	ıts, op	ptions, conver	tible secu	ırities)		
1. Title of Derivate Security (Instr. 3)	or Exercise Price of Derivative		3A. Deen Execution Date, if a	n (Inst	rans. C r. 8)	I A I	de 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)		6. Date Exercisable and Expiration Date			7. Title and Securities U Derivative S (Instr. 3 and		nderlying Derivative Security		Securities Beneficially Owned	Ownership Form of Derivative Security:	11. Nature of Indirect Beneficial Ownership (Instr. 4)
	Security			Code	ode	V	(A)	(D)	Date Exerc	cisable	Expiration Date	Title	Amou	unt or Number of		Following Reported Transaction(s) (Instr. 4)	Direct (D) or Indirect (I) (Instr. 4)	

#### **Explanation of Responses:**

- (1) The restricted stock units granted on April 30, 2021 will vest 100% at the first anniversary date of the grant date. This is an annual non-employee director grant as outlined in the 2021 proxy.
- (2) As of the date of this filing, 1,813 shares held by the reporting person are unvested.
- (3) These shares are held in The Thomas M. Rohrs and Marion Rohrs Revocable Trust.

## **Reporting Owners**

Reporting Owner Name / Address	Relationships							
Reporting Owner Name / Address	Director	10% Owner	Officer	Other				
ROHRS THOMAS M								
1595 WYNKOOP STREET, SUITE 800	X							
DENVER, CO 80202								

### **Signatures**

Thomas O. McGimpsey - Attorney-in-Fact 5/4/2021

\*\*Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

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